

PHAPlans

5YearPlanforFiscalYears2000 -2004
AnnualPlanforFiscalYear2002

**NOTE:THISPHAPLANSTEMPLATE(HUD50075)ISTO BECOMPLETEDIN
ACCORDANCEWITHINSTRUCTIONSLOCATEDINAPPLICABLEPIHNOTICES**

05/28/02

**PHA Plan
Agency Identification**

PHAName: **Housing Authority of the Birmingham District**

PHANumber: **AL09P001**

PHAFiscalYearBeginning: **07/02**

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☒ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

5-YEAR PLAN
PHAF ISCAL YEARS 2000 -2004
[24CFRPart903.5]

A.Mission

State the PHA's mission for serving the needs of low -income, very low income, and extreme ly low -income families in the PHA's jurisdiction. (select one of the choices below)

- ☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.
- ☒ The PHA's mission is:
The mission of the Housing Authority of the Birmingham District is to be the leader in making available excellent, affordable housing for low and moderate income persons through effective management and the wise stewardship of public funds. We will also partner with our residents and others to enhance the quality of life in our communities.

B.Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHA may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD -suggested objectives or their own, **PHAS ARE STRONGLY EN COURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS .** (Quantifiable measures would include target statistics: numbers of families served or PHAS scores achieved.) PHA should identify these measures in the space to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- ☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☒ Apply for additional rental vouchers:
 - ☒ Reduce public housing vacancies:
 - ☒ Leverage private or other public funds to create additional housing opportunities:
 - ☒ Acquire or build units or developments
 - ☒ Partner with community -based Community Development Corporations, other appropriate non -profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all appropriate market/income segments.
- ☒ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☒ Improve public housing management: (PHAS score)
 - ☒ Improve voucher management: (SEMAP score)
 - ☒ Increase customer satisfaction:
 - ☒ Concentrate one effort to improve specific management functions:

Improve the Section 8 voucher unit inspection process
 Increase the number of Section 8 units under lease
 Increase the occupancy rate for Public Housing units

- ☒ Renovate or modernize public housing units:
- ☒ Demolish or dispose of obsolete public housing:
- ☒ Provide replacement public housing:
- ☒ Provide replacement vouchers:
- ☐ Other: (list below)

☒ PHA Goal: Increase assisted housing choices

Objectives:

- ☒ Provide voucher mobility counseling:
- ☒ Conduct outreach effort to potential voucher landlords
- ☐ Increase voucher payment standards
- ☒ Implement voucher homeownership program:
- ☒ Implement public housing or other homeownership programs:
- ☐ Implement public housing site -based waiting lists:
- ☐ Convert public housing to vouchers:
- ☒ Partner with community -based Community Development Corporations, other appropriate non -profit organizations and the City of Birmingham to provide renovated and newly constructed affordable housing in appropriate neighborhoods in the city.

HUD Strategic Goal: Improve community quality of life and economic vitality

☒ PHA Goal: Provide an improved living environment

Objectives:

- ☒ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☒ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☒ Implement public housing security improvements:
- ☒ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☒ Smithfield Homes (AL09P001009) will be designated for occupancy only by elderly families and families with disabilities.

HABD will begin adding amenities to improve the quality of life for residents (air conditioning, increase parking....)

HUD Strategic Goal: Promote self -sufficiency and asset development of families and individuals

- ☒ PHAGoal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ☒ Increase the number and percentage of employed persons in assisted families:
- ☒ Provide or attract supportive services to improve assistance recipients' employability:
- ☒ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☒ Expand current HABD programs and support other programs which foster homeownership opportunities through education on homeownership responsibilities, household financial management, credit counseling and related subjects.

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- ☒ PHAGoal: Ensure equal opportunity and affirmatively further fair housing

Objectives:

- ☒ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status, and disability:
- ☒ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion, national origin, sex, familial status, and disability:
- ☒ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
- ☒ Undertake affirmative measures to ensure access to assisted housing in a suitable living environment regardless of age.

Other PHAGoals and Objectives: (list below)

- ☒ PHAGoal: Take a leadership role in the creation of significant additional organizational capacity to provide and maintain quality affordable housing in Birmingham and the region.

Objectives:

- ☒ Conduct and participate in workshops and information sessions with the leadership of community-based Community Development Corporations

and other non-profit organizations dealing with affordable housing, community revitalization, and related issues regarding HABD/ HUD sponsored and funded programs for the provision of affordable housing and related community reinvestment programs.

- ☒ Partner with community based Community Development Corporations, other appropriate non-profit organizations and the City of Birmingham to provide renovated and newly constructed affordable housing in appropriate neighborhoods in the city as part of an overall, coordinated strategy for community reinvestment and revitalization.

AnnualPHAPlan
PHAFiscalYear2002
[24CFRPart903.7]

i. AnnualPlanType:

Select which type of Annual Plan the PHA will submit.

☒ **Standard Plan**

Streamlined Plan:

- ☐ **High Performing PHA**
☐ **Small Agency (<250 Public Housing Units)**
☐ **Administering Section 8 Only**

☐ **Troubled Agency Plan**

ii. Executive Summary of the Annual PHA Plan

[24CFRPart903.79(r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

iii. Annual Plan Table of Contents

[24CFRPart903.79(r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

Table of Contents

	<u>Page#</u>
Annual Plan	
i. Executive Summary	
ii. Table of Contents	
1. Housing Needs	4
2. Financial Resources	11
3. Policies on Eligibility, Selection and Admissions	12
4. Rent Determination Policies	23
5. Operations and Management Policies	27
6. Grievance Procedures	29
7. Capital Improvement Needs	30
8. Demolition and Disposition	31
9. Designation of Housing	33
10. Conversions of Public Housing	34
11. Homeownership	36
12. Community Service Programs	38

13. Crime and Safety	40
14. Pets (Inactive for January PHAs)	42
15. Civil Rights Certifications (included with PHA Plan Certifications)	42
16. Audit	42
17. Asset Management	43
18. Other Information	43

Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the filename in parentheses in the space to the right of the title.

Required Attachments:

- ☒ Admissions Policy for Deconcentration
- ☒ FY2002 Capital Fund Program Annual Statement
- ☐ Most recent board -approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)

Optional Attachments:

- ☒ PHA Management Organizational Chart
- ☒ FY2002 Capital Fund Program 5 Year Action Plan
- ☒ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text)
- ☒ Other:
Existing CFP Budgets

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the "Applicable & On Display" column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
	PHA Plan Certification of Compliance with the PHA Plans and Related Regulations	5 Year and Annual Plans
X	State/Local Government Certification of Consistency with the Consolidated Plan	5 Year and Annual Plans
X	Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions' Initiatives to affirmatively further fair housing that require the PHA's involvement.	5 Year and Annual Plans
	Consolidated Plan for the jurisdiction/s in which the PHA is	Annual Plan:

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction	Housing Needs
X	Most recent board -approved operating budget for the public housing program	Annual Plan: Financial Resources;
X	Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP]	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Section 8 Administrative Plan	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public Housing Deconcentration and Income Mixing Documentation: 1. PHA Board certification of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis	Annual Plan: Eligibility, Selection, and Admissions Policies
X	Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Rent Determination
X	Section 8 rent determination (payment standard) policies <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Rent Determination
X	Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation)	Annual Plan: Operations and Maintenance
X	Public housing grievance procedures <input checked="" type="checkbox"/> check here if included in the public housing A&O Policy	Annual Plan: Grievance Procedures
X	Section 8 informal review and hearing procedures <input checked="" type="checkbox"/> check here if included in Section 8 Administrative Plan	Annual Plan: Grievance Procedures
X	The HUD -approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year	Annual Plan: Capital Needs
X	Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant	Annual Plan: Capital Needs

List of Supporting Documents Available for Review		
Applicable & On Display	Supporting Document	Applicable Plan Component
X	Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option)	Annual Plan: Capital Needs
X	Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing	Annual Plan: Capital Needs
X	Approved or submitted applications for demolition and/or disposition of public housing	Annual Plan: Demolition and Disposition
	Approved or submitted applications for designation of public housing (Designated Housing Plans)	Annual Plan: Designation of Public Housing
	Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act	Annual Plan: Conversion of Public Housing
X	Approved or submitted public housing homeownership programs/plans	Annual Plan: Homeownership
X	Policies governing any Section 8 Homeownership program <input checked="" type="checkbox"/> check here if included in the Section 8 Administrative Plan	Annual Plan: Homeownership
X	Any cooperative agreement between the PHA and the TANF agency	Annual Plan: Community Service & Self-Sufficiency
X	FSS Action Plan/s for public housing and/or Section 8	Annual Plan: Community Service & Self-Sufficiency
X	Most recent self-sufficiency (ED/SS, TOP or ROSS or other residents services grant) grant program reports	Annual Plan: Community Service & Self-Sufficiency
X	The most recent fiscal year audit of the PHA conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings	Annual Plan: Annual Audit
	Troubled PHAs: MOA/Recovery Plan	Troubled PHAs
	Other supporting documents (optional) (list individually; use as many lines as necessary)	(specify as needed)

1. Statement of Housing Needs

[24 CFR Part 903.79(a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

Housing Needs of Families in the Jurisdiction by Family Type							
Family Type	Overall	Afford- ability	Supply	Quality	Access- ibility	Size	Loca- tion
Income ≤ 30% of AMI	15,829	4	4	4	N/A	3	3
Income > 30% but ≤ 50% of AMI	8,268	4	2	3	N/A	3	2
Income > 50% but < 80% of AMI	8,831	2	1	2	N/A	2	1
Elderly	7,699	4	1	2	N/A	1	1
Families with Disabilities	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						
Race/Ethnicity	N/A						

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☒ Consolidated Plan of the Jurisdiction/s
Indicate year: 2000
- ☐ U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset
- ☐ American Housing Survey data
Indicate year:
- ☐ Other housing market study
Indicate year:
- ☒ Other sources:

HABD Strategic Facilities Analysis Plan (1998)
Asset Management Plan (2001)

B. Housing Needs of Families on the Public Housing and Section 8 Tenant-Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA - wide waiting list administered by the PHA.** PHA may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

HousingNeedsofFamiliesont heWaitingList			
Waitinglisttype:(selectone)			
<input checked="" type="checkbox"/> Section8tenant -basedassistance			
<input type="checkbox"/> PublicHousing			
<input type="checkbox"/> CombinedSection8andPublicHousing			
<input type="checkbox"/> PublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional)			
Ifused,identifywhichdevelopment/subjurisdiction:			
	#offamilies	%oftotalfamilies	AnnualTurnover
Waitinglisttotal	2,876		
Extremelylow income<=30%AMI	949	33%	
Very lowincome (>30%but<=50%AMI)	1,927	67%	
Lowincome (>50%but<80%AMI)	-0 -	-0 -	
Familieswith children	2,761	96%	
Elderlyfamilies	57	2%	
Familieswith Disabilities	58	2%	
Race/ethnicity(B)	2,818	98%	
Race/ethnicity(W)	58	2%	
Race/ethnicity			
Race/ethnicity			

B. HousingNeedsofFamiliesonthePublicHousingandSection8 Tenant-BasedAssistanceWaitingLists

StatethehousingneedsofthefamiliesonthePHA'swaitinglist/s .Completeonetableforeachtype ofPHA -widewaitinglistadministeredbythePHA. PHAsmayprovideseperatetablesforsite - basedorsub -jurisdictionalpublichousingwaitinglistsattheiroption.

HousingNeedsofFamiliesontheWaitingList			
Waitinglisttype:(selectone)			
<input type="checkbox"/> Section8tenant -basedassistance			
<input checked="" type="checkbox"/> PublicHousing			
<input type="checkbox"/> CombinedSection8a ndPublicHousing			
<input type="checkbox"/> PublicHousingSite -Basedorsub -jurisdictionalwaitinglist(optional)			
Ifused,identifywhichdevelopment/subjurisdiction:			
	#offamilies	%oftotalfamilies	AnnualTurnover
Waitinglisttotal	862		
Extremelylow income<=30% AMI	N/A		
Verylowincome (>30%but<=50% AMI)	N/A		
Lowincome (>50%but<80% AMI)	N/A		
Familieswith children	797		
Elderlyfamilies	12		
Familieswith Disabilities	50		
Race/ethnicity Black	836		
Race/ethnicity Hispanic	15		
Race/ethnicity White	11		
Race/ethnicity	N/A		
Characteristicsby BedroomSize (PublicHousing Only)			
1BR	261	30%	
2BR	307	36%	
3BR	215	25%	
4BR	63	7%	
5BR	12	1%	
5+BR	4	1%	

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes If yes: How long has it been closed (# of months)? Does the PHA expect to re-open the list in the PHA Plan year? <input type="checkbox"/> No <input type="checkbox"/> Yes Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input type="checkbox"/> No <input type="checkbox"/> Yes
--

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

The vacancy rate for December 28, 2001 was 26%. HABD is currently implementing a marketing outreach plan to increase the number of working families and to reduce the vacancy rate.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1.M Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off -line
- ☒ Reduce turnover time for vacated public housing units
- ☒ Reduce time to renovate public housing units
- ☒ Seek replacement of public housing units lost to the inventory through mixed financed development
- ☒ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease -up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☒ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☒ Maintain or increase section 8 lease -up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☐ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☒ Expand HABD housing resources by partnering with the City of Birmingham

as well as other agencies, non-profit corporations and for-profit corporations.

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☒ Apply for additional section 8 units should they become available
- ☒ Leverage affordable housing resources in the community through the creation of mixed-income financing housing
- ☒ Pursue housing resources other than public housing or Section 8 tenant-based assistance.
- ☒ Partner with community-based Community Development Corporations, other non-profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all appropriate market/income segments.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30% of AMI

Select all that apply

- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☒ Adopt rent policies to support and encourage work
- ☐ Other: (list below)

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- ☒ Seek designation of public housing for the elderly
- ☐ Apply for special-purpose voucher targeted to the elderly, should they become available

- ☒ Partner with community -based Community Development Corporations, other appropriate non -profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all elderly market/income segments.

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☒ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☐ Apply for special -purpose voucher targeted to families with disabilities, should they become available
- ☐ Affirmatively market to local non -profit agencies that assist families with disabilities
- ☒ Smithfield Homes (AL09P001009) has been designated for occupancy only by elderly families and families with disabilities as of December 20, 2001..
- ☒ Partner with community -based Community Development Corporations, other appropriate non -profit organizations and the City of Birmingham to provide new affordable housing of appropriate types for all disabled market/income segments.

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- ☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☒ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☒ Market the section 8 program to owners outside of areas of poverty/minority concentrations
- ☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☐ Funding constraints
- ☐ Staffing constraints
- ☐ Limited availability of sites for assisted housing
- ☐ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☐ Community priorities regarding housing assistance
- ☒ Results of consultation with local or state government
- ☒ Results of consultation with residents and the Resident Advisory Board
- ☐ Results of consultation with advocacy groups
- ☐ Other: (list below)

2. Statement of Financial Resources

[24CFR Part 903.79(b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant-based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations, public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

Financial Resources: Planned Sources and Uses		
Sources	Planned \$	Planned Uses
1. Federal Grants (FY 2002 grants)		
a) Public Housing Operating Fund	20,212,212	
b) Public Housing Capital Fund	13,630,253	
c) HOPE VI Revitalization	-0 -	
d) HOPE VI Demolition		
e) Annual Contributions for Section 8 Tenant-Based Assistance	17,387,723	

FinancialResources: PlannedSourcesandUses		
Sources	Planned\$	PlannedUses
f) PublicHousingDrugElimination Program(includinganyTechnical Assistancefunds)	1,467,257	
g) ResidentOpportunityandSelf - SufficiencyGrants		
h) CommunityDevelopment Block Grant		
i) HOME		
OtherFederalGrants(listbelow)		
2.PriorYearFederalGrants (unobligatedfundsonly)(list below)		
3.PublicHousingDwellingRental Income	4,083,795	Operations
4.Otherincome (listbelow)		
IncomeonInvestments	832,016	Operations
TenantRevenue –Other	47,484	
OtherRevenue	391,565	
Totalresources	54,782,580	

3.PHAPoliciesGover ningEligibility,Selection,andAdmissions

[24CFRPart903.79(c)]

A.PublicHousing

Exemptions:PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1)Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☐ When families are within a certain number of being offered a unit: (state number)
- ☐ When families are within a certain time of being offered a unit: (state time)

☒ Other: HABD verifies eligibility of each applicant at the time of completing an application.

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug-related activity
- ☒ Rental history
- ☒ Housekeeping
- ☐ Other (describe)

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through NCIC - authorized source)

(2) Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☒ Community-wide list
- ☐ Sub-jurisdictional lists
- ☐ Site-based waiting lists
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☐ PHA main administrative office
- ☒ PHA development/site management office
- ☐ Other (list below)

c. If the PHA plan to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year ?

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously approved site-based waiting list plan)? If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously? If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☐ PHA main administrative office
- ☐ All PHA development management offices
- ☐ Management offices at developments with site-based waiting lists
- ☐ At the development to which they would like to apply
- ☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☐ One
- ☐ Two
- ☒ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfer take precedence over new admissions? (list below)

- ☒ Emergencies
- ☒ Overhoused

- ☒ Underhoused
- ☒ Medical justification
- ☒ Administrative reasons determined by the PHA (e.g., to permit modernization work)
- ☐ Resident choice: (state circumstances below)
- ☐ Other: (list below)

c. Preferences

1. ☐ Yes ☒ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If "no" is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a points system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and Time

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing
Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☐ Not applicable: the pool of a pplicant families ensure that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease
- ☒ The PHA's Admissions and (Continued) Occupancy policy
- ☒ PHA briefing seminars or written materials
- ☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition? (select all that apply)

- ☒ At an annual reexamination and lease renewal
- ☒ Anytime family composition changes
- ☒ At family request for revision
- ☐ Other (list)

(6) Deconcentration and Income Mixing

- a. ☒ Yes ☐ No: Does the PHA have any general occupancy (family) public housing developments covered by the deconcentration rule? If no, this section is complete. If yes, continue to the next question.
- b. ☒ Yes ☐ No: Did any of these covered developments have average income above or below 85% to 115% of the average income of all such developments? If no, this section is complete.

If yes, list these developments as follows:

Deconcentration Policy For Covered Developments			
Development Name	No. of Units	Explanation*	Deconcentration Policy*
Cooper Green	311		
Southtown Court	448	Consistent with	Section 29 of
Smithfield Court	453	HABD Annual	Admission and
Tom Brown Village	240	Plan Goals for	Continued
Harris Homes	200	Deconcentration	Occupancy
Freedom Manor	102	And Income	Policy
Benjamin Greene	29	Mixing	
Roosevelt City	97		

* Applies to all Developments Listed

- c. If the answer to b was yes, what changes were readopted? (select all that apply)

☐ Adoption of site-based waiting lists
If selected, list targeted developments below:

- ☒ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:

**Cooper Green Southtown Smithfield Court Tom Brown Village
Harris Homes Freedom Manor Benjamin Greene Roosevelt City**

☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:

☐ Other (list policies and developments targeted below)

- d. ☒ Yes ☐ No: Did the PHA adopt any changes to other policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- ☒ Additional affirmative marketing
- ☒ Actions to improve the marketability of certain developments
- ☐ Adoption or adjustment of ceiling rents for certain developments
- ☒ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- ☒ Other:

DECONCENTRATION AND INCOME MIXING

The Housing Authority of the Birmingham District has the following plans and/or policies that will impact the deconcentration of income and income mixing:

Flat Rents

The housing authority has implemented flat rents as an incentive to attract working families with incomes of \$15,000 - \$25,000 or more. Flat rents will serve as an incentive for attracting and maintaining those working families whose incomes fall within the aforementioned income range as these families will benefit from the three (3) year freeze on their monthly rent. Additionally, these higher income working families will also benefit from any wage increases that they may receive during this three-year period without their having to experience a corresponding rent increase. Monies that would ordinarily have gone to pay for an increase in rent can now be saved by these families and possibly enable them to participate in the authority's homeownership program or serve as a down payment on a home in the private market.

Marketing Plan

The housing authority's Media Advisory Committee (which includes housing authority staff and marketing professionals from radio, television and print media) has identified a target market (the working poor) and the income levels (\$15,000 - \$25,000) of those families that the authority hopes to attract to public housing. The HABD developed extensive radio and television ad campaigns that aired for several weeks periodically during the year. The ad campaigns resulted in an increase of 21% (percent) in the number of public housing applicants. Potential applicants continue to have the convenience of applying for housing at each of HABD's seventeen sites. HABD continues to make available printed material to anyone interested in public housing which includes its latest Annual Report. Information regarding how to apply, where to apply and other related information can be found on the HABD's web site: www.habd.org. The authority reexamines its Marketing Plan from time to time to ensure that it is in line with the current needs of the agency in identifying and attracting its targeted market.

Central Air Conditioning

In an effort to become competitive with the private sector, the authority is currently installing central air conditioning in Harris Homes (1 -14). Additionally, the authority has reserved funds to install central air conditioning in Collegeville (1 -13), Smithfield (1-9) and Southtown (1 -4). These efforts will enable the authority to not only compete with the private sector but will serve as an incentive for retaining those working families that are currently in occupancy.

Homeownership Program

The housing authority has developed a homeownership program and has identified several families that will qualify for homeownership opportunities under this program. Currently, twenty-five (25) single-family homes are being constructed. The attraction of higher income working families to public housing will enable the authority to develop a larger pool of qualified families to participate in the homeownership program.

Improved Leasing Operations

The authority is currently investigating methods for making our leasing operations more customer friendly. Areas of improvement that are currently being reviewed include: changing our leasing hours to late evenings to meet the needs of working families and possibly leasing on Saturday to accommodate the needs of those working families who are unable to lease an apartment during the normal five (5) day work week.

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- ☐ Not applicable: results of analysis did not indicate a need for such efforts
☒ List (any applicable) developments below:

Allexcept Freedom Manor (housing for seniors and disabled)

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- ☒ Not applicable: results of analysis did not indicate a need for such efforts
☐ List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub -component 3B.
Unless otherwise specified, all questions in this section apply only to the tenant -based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- ☒ Criminal or drug -related activity only to the extent required by law or regulation
- ☐ Criminal and drug -related activity, more extensively than required by law or regulation
- ☐ More general screening than criminal and drug -related activity (list factors below)
- ☐ Other (list below)

b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☒ Yes ☐ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☒ Yes ☐ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC - authorized source)

e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

- ☐ Criminal or drug -related activity
- ☒ Other: 1. Family's current address 2. Family's current and prior landlord

(2) Waiting List Organization

a. With which of the following program waiting lists is the section 8 tenant -based assistance waiting list merged? (select all that apply)

- ☒ None
- ☐ Federal public housing
- ☐ Federal moderate rehabilitation
- ☐ Federal project -based certificate program
- ☐ Other federal or local program (list below)

b. Where may interested persons apply for admission to section 8 tenant assistance? (select all that apply) -based

- ☐ PHA main administrative office
☒ Other: Varies. One or more public housing sites.

(3) Search Time

a. ☒ Yes ☐ No: Does the PHA give extensions on standard 60 -day period to search for a unit?

If yes, state circumstances below:

1. As a reasonable accommodation to the disabled
2. When families are unable to find suitable housing and request extension in writing prior to voucher expiration

(4) Admissions Preferences

a. Income targeting

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

b. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)

2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
☐ Victims of domestic violence
☒ Substandard housing
☒ Homelessness
☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability

- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Household that contribute to meeting income goals (broad range of incomes)
- ☐ Household that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a points system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

Date and SSANumber

Former Federal preferences

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
 Victims of domestic violence
 Substandard housing
 Homelessness
 High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☐ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Household that contribute to meeting income goals (broad range of incomes)
- ☐ Household that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- ☒ Date and SSANumber
- ☐ Drawing (lottery) or other random choice technique

5.If the PHA plansto employ preferences for “residents who live and/or work in the jurisdiction”(select one)

- ☐ This preference has previously been reviewed and approved by HUD
☐ The PHA requests approval for this preference through this PHA Plan

6.Relationship of preference to income targeting requirements:(select one)

- ☐ The PHA applies preferences within income tiers
☒ Not applicable: the pool of applicant families ensure that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admission to any special -purpose section 8 program administered by the PHA contained?(select all that apply)

- ☒ The Section 8 Administrative Plan
☐ Briefing sessions and written materials
☐ Other (list below)

b. How does the PHA announce the availability of any special -purpose section 8 programs to the public?

- ☒ Through published notices
☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.79(d)]

A. Public Housing

Exemptions: PHA that do not administer public housing are not required to complete sub -component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rents setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies:(select one)

- ☒ ThePHAwillnotemployanydiscretionaryrent-settingpoliciesforincome basedrentinpublichousing.Income-basedrentsaresetatthehigherof30% ofadjustedmonthlyincome,10%ofunadjustedmonthlyincome,thewelfare rent,orminimumrent(lessHUDmandatorydeductionsandexclusions).(If selected,skiptosub-component(2))

---or---

- ☐ ThePHAemploysdiscretionarypoliciesfordeterminingincomebasedrent(If selected,continuetquestionb.)

b.MinimumRent

1.WhatamountbestreflectsthePHA'sminimumrent?(selectone)

- ☒ \$0
☐ \$1-\$25
☐ \$26-\$50

2. ☐ Yes ☒ No: HasthePHAadoptedanydiscretionaryminimumrenthardship exemptionpolicies?

3.Ifyes toquestion2,listthesepoliciesbelow :

c. Rentssetatlessthan30%thanadjustedincome

1. ☐ Yes ☒ No: DoesthePHAplantocharge rentsatafixedamountor percentagelessthan30%ofadjustedincome?

2.Ifyes toabove,listtheamountsorpercentageschargedand thecircumstances underwhichthesewillbeusedbelow:

d.Whichofthediscretionary(optional)deductionsand/or exclusionspoliciesdoesthe PHAplantoemploy(selectallthatapply)

- ☒ Fortheearnedincomeofapreviouslyunemployedhousehold member
☒ Forincreasesinearnedincome
☐ Fixedamount(otherthangeneralrent-settingpolicy)
Ifyes,stateamount/sandcircumstancesbelow:

- ☐ Fixedpercentage(otherthangeneralrent-settingpolicy)
Ifyes,statepercentage/sandcircumstancesbelow:

- ☐ Forhouseholdheads
☐ Forotherfamilymembers

- ☐ For transportation expenses
- ☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families
- ☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

- ☒ Yes for all developments
- ☐ Yes but only for some developments
- ☐ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

- ☒ For all developments
- ☐ For all general occupancy developments (not elderly or disabled or elderly only)
- ☐ For specified general occupancy developments
- ☐ For certain parts of developments; e.g., the high-rise portion
- ☐ For certain size units; e.g., larger bedroom sizes
- ☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☐ Market comparability study
- ☐ Fair market rents (FMR)
- ☐ 95th percentile rents
- ☐ 75 percent of operating costs
- ☐ 100 percent of operating costs for general occupancy (family) developments
- ☒ Operating costs plus debt service
- ☐ The "rental value" of the unit
- ☐ Other (list below)

f. Rent redeterminations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☒ Anytime the family experiences an income increase
- ☐ Anytime a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold) _____
- ☐ Other (list below)

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market -based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)
- ☐ The section 8 rent reasonableness study of comparable housing
 - ☐ Survey of rents listed in local newspaper
 - ☒ Survey of similar unassisted units in the neighborhood
 - ☐ Other (list/describe below)

B. Section 8 Tenant -Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant -based assistance are not required to complete sub -component 4 B. **Unless otherwise specified, all questions in this section apply only to the tenant -based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies .

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☐ 100% of FMR
- ☒ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☐ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- ☒ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☒ Annually
- ☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☒ Success rates of assisted families
- ☒ Rent burdens of assisted families
- ☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☒ \$0
- ☐ \$1-\$25
- ☐ \$26-\$50

b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.79(e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C (2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☒ An organization chart showing the PHA's management structure and organization is attached.
- ☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

Program Name	Units or Families Served at Year Beginning	Expected Turnover
Public Housing/FSS	3774/52	377/0
Section 8 Vouchers	3550	533
Section 8 Certificates	N/A	
Section 8 Mod Rehab	105	17
Special Purpose Section 8 Certificates/Vouchers (list individually)	190-HUD Enforcement Action South Park 320 HOPE VI	
Other Federal Programs (list individually)	N/A	
Sub. Rehab	64	5
Sec. 8 New Cont.	50	5

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

A.C.O.P. (January 2002)

(2) Section 8 Management: Administrative Plan

(3) Extermination: We have currently contracted out services so that we can provide a comprehensive program of extermination for residents. We will continue to do this as well as explore other means of control to minimize the problem we have in these areas.

This includes instructions on housekeeping, inspections and proper garbage disposal. These areas are being spearheaded by Housing Management and Maintenance. Monthly treatments are scheduled. Residents are notified one week in advance via written notification.

6. PHA Grievance Procedures

[24CFR Part 903.79(f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8 - Only PHAs are exempt from sub - component 6A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA offices should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☐ PHA main administrative office
☒ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant - Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant - based assistance program and informal hearing procedures for families assisted by the Section 8 tenant - based assistance program in addition to federal requirements found at 24CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA offices should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☐ PHA main administrative office
☒ Other (list below)

Brown Marx Tower
2000 First Avenue, North - Suite 300
Birmingham, AL 35203

7.CapitalImprovementNeeds

[24CFRPart903.79(g)]

ExemptionsfromComponent7:Section8onlyPHAsarenotrequiredtocompletethiscomponentand
mayskipComponent8.

A.CapitalFundActivities

Exemptionsfromsub-component7A:PHAsthatwillnotparticipateintheCapitalFundProgrammay
skiptocomponent7B.AllotherPHAsmustcomplete7Aasinstructed.

(1)CapitalFundProgramAnnualStatement

UsingpartsI,II,andIIIof theAnnualStatementfortheCapitalFundProgram(CFP),identifycapital
activitiesthePHAisproposingfortheupcomingyeartoensurelong-termphysicalandsocialviability
ofitspublichousingdevelopments.Thisstatementcanbecompletedbyusing theCFPAnnual
StatementtablesprovidedinthetablelibraryattheendofthePHAPlantemplate **OR**,atthePHA's
option,bycompletingandattachingaproperlyupdatedHUD -52837.

Selectone:

☒ TheCapitalFundProgramAnnualStatementisprovided asanattachmentto
thePHAPlanatAttachment(statename)

-or-

☐ TheCapitalFundProgramAnnualStatementisprovidedbelow:(ifselected,
copytheCFPAnnualStatementfromtheTableLibraryandinsertthere)

(2)Optional5 -YearActionPlan

Agenciesareencouragedtoincludea5 -YearActionPlancoveringcapitalworkitems.Thisstatement
canbecompletedbyusingthe5YearActionPlantableprovidedinthetablelibraryattheendofthe
PHAPlantemplate **OR**by completingandattachingaproperlyupdatedHUD -52834.

a. ☐ Yes ☒ No:Is thePHAprovidinganoptional5 -YearActionPlanfortheCapital
Fund?(ifno,skiptosub-component7B)

b.If yestoquestiona,selectone:

☐ TheCapitalFundProgram5 -YearActionPlanisprovidedasanattachmentto
thePHAPlanatAttachment(statename)

-or-

☐ TheCapitalFundProgram5 -YearActionPlanisprovidedbelow:(ifselected,
copytheCFPOption al5YearActionPlanfromtheTableLibraryandinsert
here)

B.HOPE VI and Public Housing Development and Replacement Activities (Non -Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- ☒ Yes ☐ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name: Metropolitan Gardens
2. Development (project) number: AL09P001003
3. Status of grant: (select the statement that best describes the current status)
☐ Revitalization Plan under development
☐ Revitalization Plan submitted, pending approval
☒ Revitalization Plan approved
☐ Activities pursuant to an approved Revitalization Plan underway

- ☒ Yes No: ☐ c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
If yes, list development name/s below:

Site To Be Determined

- ☒ Yes ☐ No: d) Will the PHA be engaging in any mixed -financed development activities for public housing in the Plan year?
If yes, list developments or activities below:

Cooper Green Homes Elyton Village Metropolitan Gardens
AL09P001017 AL09P001001 AL09P001003

- ☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.79(h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If "No", skip to component 9; if "yes", complete one activity description for each development.)

2. Activity Description

- ☐ Yes ☒ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If "yes", skip to component 9. If "No", complete the Activity Description table below.)

Demolition/Disposition Activity Description
1a. Development name: Metropolitan Gardens 1b. Development (project) number: AL09P001003
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: 10/18/00
5. Number of units affected: 910
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity: a. Actual or projected start date of activity: September 2001 b. Projected end date of activity: May 2002

Demolition/Disposition Activity Description
1a. Development name: Elyton Village 1b. Development (project) number: AL09P001001
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input checked="" type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/>
4. Date application approved, submitted, or planned for submission: <u>05/19/97</u>
5. Number of units affected: 141
6. Coverage of action (select one) <input checked="" type="checkbox"/> Part of the development

<input type="checkbox"/> Total development
7. Timeline for activity:
a. Actual or projected start date of activity: October 2000
b. Projected end date of activity: February 2002

Demolition/Disposition Activity Description
1a. Development name: Cooper Green Homes
1b. Development (project) number: AL09P001017
2. Activity type: Demolition <input checked="" type="checkbox"/> Disposition <input type="checkbox"/>
3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input checked="" type="checkbox"/>
4. Date application approved, submitted, or planned for submission: Jan. 2003
5. Number of units affected: 312
6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input checked="" type="checkbox"/> Total development
7. Timeline for activity:
a. Actual or projected start date of activity: Jan 2004
b. Projected end date of activity: July 2004

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.79(i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☒ Yes ☐ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If "No", skip to component 10. If "yes", complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2.A ctivityDescription

☐ Yes ☒ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 10. If "No", complete the Activity Description table below .

Designation of Public Housing Activity Description	
1a. Development name: SMITHFIELD	
1b. Development (project) number: AL09P001009	
2. Designation type:	
Occupancy by only the elderly	<input type="checkbox"/>
Occupancy by families with disabilities	<input type="checkbox"/>
Occupancy by only elderly families and families with disabilities	<input checked="" type="checkbox"/>
3. Application status (select one)	
Approved; included in the PHA's Designation Plan	<input type="checkbox"/>
Submitted, pending approval	<input type="checkbox"/>
Planned application	<input checked="" type="checkbox"/>
4. Date this designation approved, submitted, or planned for submission: <u>06/30/02</u>	
5. If approved, will this designation constitute a (select one)	
<input checked="" type="checkbox"/> New Designation Plan	
<input type="checkbox"/> Revision of a previously -approved Designation Plan?	
6. Number of units affected:	
7. Coverage of action (select one)	
<input type="checkbox"/> Part of the development	
<input checked="" type="checkbox"/> Total development	

10. Conversion of Public Housing to Tenant -Based Assistance

[24CFR Part 903.79(j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessment of Reasonable Revitalization Pursuant to section 202 of the HUD FY1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete as a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

Conversion of Public Housing Activity Description
1a. Development name: 1b. Development (project) number:
2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below)
3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.)
4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD - approved Conversion Plan underway
5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below)

B. Voluntary Conversion Initial Assessments

1. How many of the PHA's developments are subject to the Required Initial Assessments?

Fifteen (15)

2. How many of the PHA's developments are not subject to the Required Initial Assessments based on exemptions (e.g., elderly and/or disabled developments not general occupancy projects)?

Two (2) Metropolitan Gardens (HOPE VI approved)
Freedom Manor (Designated Elderly)

3. How many Assessments were conducted for the PHA's covered developments?

Fifteen (15)

4. Identify PHA developments that may be appropriate for conversion based on the Required Initial Assessments:

None

Development Name	Number of Units

5. If the PHA has not completed the Required Initial Assessments, describe the status of these assessments:

N/A

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.79(k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☒ Yes ☐ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z -4). (If "No", skip to component 11B; if "yes", complete one activity description for

each applicable program/plan, unless eligible to complete a streamlined submission due to **smallPHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

☐ Yes ☒ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

Public Housing Homeownership Activity Description (Complete one for each development affected)
1a. Development name: Metropolitan Gardens 1b. Development (project) number: AL09P001003
2. Federal Program authority: <input type="checkbox"/> HOPEI <input checked="" type="checkbox"/> 5(h) <input type="checkbox"/> Turnkey III <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99)
3. Application status: (select one) <input checked="" type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application
4. Date Homeownership Plan/Program approved, submitted, or planned for submission: March 19, 1997
5. Number of units affected: 200 6. Coverage of action: (select one) <input checked="" type="checkbox"/> Part of the development <input type="checkbox"/> Total development

B. Section 8 Tenant Based Assistance

1. ☒ Yes ☐ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982? (If “No”, skip to component 12; if “yes”, describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

☐ Yes ☒ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
☐ 26- 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA -established eligibility criteria

☐ Yes ☒ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?
If yes, list criteria below:

12. PHA Community Service and Self -sufficiency Programs

[24CFR Part 903.79(l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8 -Only PHAs are not required to complete sub -component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

☒ Yes ☐ No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that the agreement was signed? 8/12/00

2. Other coordination efforts between the PHA and TANF Agency (select all that apply)

- ☒ Client referrals
☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
☒ Coordinate the provision of specific social and self -sufficiency services and program to eligible families
☒ Jointly administer programs
☐ Partner to administer a HUD Welfare -to-Work voucher program
☐ Joint administration of other demonstration program
☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☐ Public housing rent determination policies
- ☐ Public housing admissions policies
- ☐ Section 8 admissions policies
- ☐ Preference in admission to section 8 for certain public housing families
- ☒ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☒ Preference/eligibility for public housing homeownership option participation
- ☒ Preference/eligibility for section 8 homeownership option participation
- ☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☒ Yes ☐ No: Does the PHA coordinate, promote or provide any program to enhance the economic and social self-sufficiency of residents? (If "yes", complete the following table; if "no" skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (waiting list/random selection/specific criteria/other)	Access (development office/ PHA main office/ other provider name)	Eligibility (public housing or section 8 participants or both)
Family Self-Sufficiency	100	Waiting List	PHA Main Office	Both

(2) Family Self Sufficiency program/s

a. Participation Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2000 Estimate)	Actual Number of Participants (As of: 12/31/01)
Public Housing	0	52
Section 8	9	40

- b. ☒ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plan to take to achieve at least the minimum program size?
If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)
- ☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
 - ☒ Informing residents of new policy on admission and reexamination
 - ☒ Actively notifying residents of new policy at times in addition to admission and reexamination.
 - ☒ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
 - ☒ Establishing a protocol for exchange of information with all appropriate TANF agencies
 - ☒ Other: Currently under contract agreement with Lawson State College for provision of Job Readiness/Job Placement of 100 residents as of September 15, 2002.

D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.79(m)]

Exemptions from Component 13: High performing and small PHA not participating in PHDEP and Section 8 Only PHA may skip to component 15. High performing and small PHA that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents
(select all that apply)

- ☒ High incidence of violent and/or drug -related crime in some or all of the PHA's developments
- ☒ High incidence of violent and/or drug -related crime in the area surrounding or adjacent to the PHA's developments
- ☒ Residents fearful for their safety and/or the safety of their children
- ☒ Observed lower -level crime, vandalism and/or graffiti
- ☒ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug -related crime
- ☐ Other (describe below)

2. What information or data did the PHA use to determine the need for _____ or PHA actions to improve safety of residents (select all that apply).

- ☒ Safety and security survey of residents
- ☒ Analysis of crime statistics over time for crimes committed "in and around" public housing authority
- ☒ Analysis of cost trends over time for _____ repair of vandalism and removal of graffiti
- ☒ Resident reports
- ☒ PHA employee reports
- ☒ Police reports
- ☒ Demonstrable, quantifiable success with previous or ongoing anti crime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected?

Elyton Village, Metropolitan Gardens, Morton Simpson, N. Birmingham Homes

B. Crime and Drug Prevention activities the PHA has undertaken or plan to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plan to undertake:
(select all that apply)

- ☒ Contracting with outside and/or resident organizations for the provision of crime- and/or drug -prevention activities
- ☒ Crime Prevention Through Environmental Design
- ☒ Activities targeted to at -risk youth, adults, or seniors
- ☒ Volunteer Resident Patrol/Block Watchers Program
- ☒ Other: (1) Continuation of the community policing concept (2) The Cop Next Door Program (3) Witness Support Program)

2. Which developments are most affected? Metropolitan Gardens; Elyton Village; Marks Village, Loveman Village, Cooper Green, Collegeville, North B' Ham Homes, Morton Simpson, Smithfield Court, Kimbrough Homes, Southtown, Tuxedo Court

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☒ Police involvement in development, implementation, and/or ongoing evaluation of drug -elimination plan
- ☒ Police provide crime data to housing authority staff for analysis and action
- ☒ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
- ☒ Police regularly testify in and otherwise support eviction cases
- ☒ Police regularly meet with the PHA management and residents
- ☒ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
- ☐ Other activities (list below)

2. Which developments are most affected?

Marks Village, Loveman Village, Cooper Green, Collegeville, North B' Ham Homes, Morton Simpson, Smithfield Court, Elyton Village, Kimbrough Homes, Southtown, Tuxedo Court

14. RESERVED FOR PET POLICY

[24CFR Part 903.79(n)]

PET POLICY ATTACHED

15. Civil Rights Certifications

[24CFR Part 903.79(o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24CFR Part 903.79(p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))? (If no, skip to component 17.)

2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☐ Yes ☒ No: Were there any findings as the result of that audit?
4. ☐ Yes ☐ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? _____
5. ☐ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD?
If not, when are they due (state below)?

17. PHA Asset Management

[24CFR Part 903.79(q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component.
High performing and small PHAs are not required to complete this component.

1. ☒ Yes ☐ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock, including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
- ☐ Not applicable
- ☐ Private management
- ☐ Development-based accounting
- ☒ Comprehensive stock assessment
- ☐ Other: (list below)
3. ☐ Yes ☒ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24CFR Part 903.79(r)]

A. Resident Advisory Board Recommendations

1. ☐ Yes ☒ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
- ☐ Attached as Attachment (Filename)
- ☐ Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
- ☐ Considered comments, but determined that no changes to the PHA Plan were necessary.

☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:

☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. ☐ Yes ☒ No: Was there a resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- ☐ Candidates were nominated by resident and assisted family organizations
- ☐ Candidates could be nominated by any adult recipient of PHA assistance
- ☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
- ☐ Other: (describe)

b. Eligible candidates: (select one)

- ☐ Any recipient of PHA assistance
- ☐ Any head of household receiving PHA assistance
- ☐ Any adult recipient of PHA assistance
- ☐ Any adult member of a resident or assisted family organization
- ☐ Other (list)

c. Eligible voters: (select all that apply)

- ☐ All adult recipients of PHA assistance (public housing and section 8 tenant based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations
- ☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction: (provide name here)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: City of Birmingham, Alabama.

- ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☐ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☐ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)

- ☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Attachment

******The following tables are attached in separate electronic files******

AttachmentOne:	AnnualStatementfortheComprehensiveGrant Programal001a03.doc
AttachmentTwo:	FiveYearPlanProgressReportal001j03.doc
AttachmentThree: al00lj03.doc	Section8HomeownershipProgramCapacity
AttachmentFour:	SummaryofPetPolicyal00lj03.doc
AttachmentFive: al001j03.doc	SummaryofCommunityServiceRequirements
AttachmentSix: al001j03.doc	ResidentAdvisoryBoardMembership
AttachmentSeven:	HousingAuthorityoftheBirminghamDistrict OrganizationalChartal001j03.doc
AttachmentEight: al001c03.xls al001d03.xls al001e03.xls al001f03.xls al001g03.xls al001h03.xls	ComprehensiveGrantAnnualPerformanceand EvaluationReportal001b03.xls al001d03.xls al001e03.xls al001f03.xls al001g03.xls al001h03.xls al001i03.xls

PHA Plan Table Library

(Please see list above)

Component 7 Capital Fund Program Annual Statement Parts I, II, and III

Annual Statement Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number FFY of Grant Approval: (MM/YYYY)

☐ Original Annual Statement

Line No.	Summary by Development Account	Total Estimated Cost
1	Total Non - CGP Funds	
2	1406 Operations	
3	1408 Management Improvements	
4	1410 Administration	
5	1411 Audit	
6	1415 Liquidated Damages	
7	1430 Fees and Costs	
8	1440 Site Acquisition	
9	1450 Site Improvement	
10	1460 Dwelling Structures	
11	1465.1 Dwelling Equipment - Nonexpendable	
12	1470 Non dwelling Structures	
13	1475 Non dwelling Equipment	
14	1485 Demolition	
15	1490 Replacement Reserve	
16	1492 Moving to Work Demonstration	
17	1495.1 Relocation Costs	
18	1498 Mod Used for Development	
19	1502 Contingency	
20	Amount of Annual Grant (Sum of lines 2 - 19)	
21	Amount of line 20 Related to LBP Activities	
22	Amount of line 20 Related to Section 504 Compliance	
23	Amount of line 20 Related to Security	
24	Amount of line 20 Related to Energy Conservation Measures	

AnnualStatement
CapitalFundProgram(CFP)Part II:SupportingTable

Development Number/Name HA-WideActivities	GeneralDescriptionofMajorWork Categories	Development Account Number	Total Estimated Cost

AnnualStatement
CapitalFundProgram(CFP)PartIII:ImplementationSchedule

Development Number/Name HA-WideActivities	AllFundsOblig ated (QuarterEndingDate)	AllFundsExpended (QuarterEndingDate)

Optional Table for 5 -Year Action Plan for Capital Fund (Component 7)

Complete one table for each development in which work is planned in the next 5 PHA fiscal years. Complete a table for any PHA-wide physical or management improvements planned in the next 5 PHA fiscal year. Copy this table as many times as necessary. Note: PHAs need not include information from Year One of the 5 -Year cycle, because this information is included in the Capital Fund Program Annual Statement.

Optional 5 -Year Action Plan Tables				
Development Number	Development Name (or indicate PHA wide)	Number Vacant Units	% Vacancies in Development	
Description of Needed Physical Improvements or Management Improvements			Estimated Cost	Planned Start Date (HA Fiscal Year)
Total estimated cost over next 5 years				

Optional Public Housing Asset Management Table

See Technical Guidance for instructions on the use of this table, including information to be provided.

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition/ disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>

Housing Authority of the Birmingham District
Capital Fund Program 801 [AL09-P001-501-00]
REVISION NUMBER

10/31/2000 GRANTEFFECTIVEDATE

12/31/2002 OBLIGATIONENDDATE

10/31/2003 EXPENDEDDATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1485	Demolition
1495.1	Relocation Cost
1502	Contingency
Total	
Available Funds	

1-1 Elyton Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
218,703.00	177,333.79	81.1%	24,296.92
1,800,000.00	1,570,167.00	87.2%	1,375,954.00
2,018,703.00	1,747,500.79	86.6%	1,400,250.92
	271,202.21		618,452.08

1-9 Smithfield			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
205,000.00	41,240.00	20.1%	0.00
68,000.00	18,765.25	27.6%	0.00
1,300,000.00	0.00		0.00
684,000.00	7,768.00	1.1%	7,768.00
2,257,000.00	67,773.25	3.0%	7,768.00
	2,189,226.75		2,249,232.00

1-13 Collegeville Center			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
100,000.00	12,252.50	12.3%	0.00
54,326.00	54,326.00	100.0%	18,267.63
10,000.00	8,850.00	88.5%	8,850.00
164,326.00	75,428.50	45.9%	27,117.63
	88,897.50		137,208.37

1-14 Harris Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
125,000.00	63,388.00	50.7%	63,388.00
125,000.00	63,388.00	50.7%	63,388.00
	61,612.00		61,612.00

1-17 Cooper Green Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
1,200,000.00	16,250.00	1.4%	0.00
650,647.00	0.00		0.00
123,000.00	51,387.95	41.8%	51,387.95
1,973,647.00	67,637.95	3.4%	51,387.95
	1,906,009.05		1,922,259.05

1-33 PHA WIDE			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
1,020,000.00	\$243,335.82	23.9%	\$235,335.82
750,000.00	750,000.00	100.0%	0.00
1,770,000.00	993,335.82	56.1%	235,335.82
	776,664.18		1,534,664.18

1-35 MCCOY FACILITY			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
237,527.00	\$237,527.00	100.0%	\$202,527.00
22,500.00	\$22,500.00	100.0%	\$22,500.00
260,027.00	260,027.00	100.0%	225,027.00
	0.00		35,000.00

LOCCS Voice Response No. 07507-92001

Project No. AL09-P001-501-00

1-50 NEW ADMINISTRATIVE BUILDING			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
900,000.00	\$0.00		\$0.00
3,500,000.00	0.00		0.00
4,400,000.00	0.00	0.0%	0.00
	4,400,000.00		4,400,000.00

CFP [801] AL09-P001-501-00			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
1,020,000.00	243,335.82	23.9%	235,335.82
750,000.00	750,000.00	100.0%	0.00
2,305,000.00	57,490.00	2.5%	0.00
386,703.00	208,351.54	53.9%	24,296.92
1,950,647.00	0.00	0.0%	0.00
684,000.00	7,768.00	1.1%	7,768.00
3,914,853.00	343,240.95	8.8%	272,182.58
32,500.00	31,350.00	96.5%	31,350.00
1,800,000.00	1,570,167.00	87.2%	1,375,954.00
125,000.00	63,388.00	50.7%	63,388.00
0.00	0.00		0.00
12,968,703.00	3,275,091.31	25.3%	2,010,275.32
	9,693,611.69		10,958,427.68

loccs expended as of 06/30/2001

	0.00
177,957.81	57,378.01
0.00	0.00
0.00	0.00
6,149.42	18,147.50
0.00	0.00
0.00	7,768.00
209,607.60	62,574.98
0.00	31,350.00
950,105.00	425,849.00
722.00	62,666.00
	0.00
1,344,541.83	665,733.49

Signature of Executive Director	Date

Signature of Public Housing Director	Date

HousingAuthorityoftheBirminghamDistrict
ComprehensiveGrantProgram707
REVISIONNUMBER

June1,1999
08/1998 GRANTEFFECTIVEDATE FFY98

12/31/2001 OBLIGATIONENDDATE

12/31/2002 **EXPENDEDDATE**

1406	Operations
1408	ManagementImprovements
1410	Administration
1430	FeesandCosts
1450	SiteImprovement
1460	DwellingStructures
1465.1	DwellingEquipment-Nonexpendable
1470	NondwellingStructures
1475	NondwellingEquipment
1495.1	RelocationCost
1502	Contingency
2000	Development
Total	
AvailableFunds	

1-1 Elyton Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
763,772.02	763,772.02	100.0%	109,395.51
223,227.98	223,227.98	100.0%	223,227.98
987,000.00	987,000.00	100.0%	332,623.49
	0.00		654,376.51

1-3R Metropolitan Gardens			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
3,750.00	\$3,750.00	100.0%	\$0.00
800,000.00	800,000.00	100.0%	800,000.00
803,750.00	803,750.00	100.0%	800,000.00
	0.00		3,750.00

1-4 Southtown			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
12,800.00	12,800.00	100.0%	12,800.00
160,000.00	160,000.00	100.0%	160,000.00
172,800.00	172,800.00	100.0%	172,800.00
	0.00		0.00

1-9 Smithfield			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
17,500.00	17,500.00	100.0%	7,926.91
250,000.00	250,000.00	100.0%	250,000.00
200,000.00	200,000.00	100.0%	200,000.00
467,500.00	467,500.00	100.0%	457,926.91
	0.00		9,573.09

1-10 Tom Brown			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
7,700.00	7,700.00	100.0%	7,262.00
14,047.47	14,047.47	100.0%	14,047.47
166,337.68	166,337.68	100.0%	151,337.68
188,085.15	188,085.15	100.0%	172,647.15
	0.00		15,438.00

1-14 Harris Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
58,144.00	58,144.00	100.0%	385.50
500,000.00	500,000.00	100.0%	446,148.86
1,664,000.00	1,664,000.00	100.0%	1,584,178.18
650,000.00	650,000.00	100.0%	528,506.07
0.00	0.00		0.00
2,872,144.00	2,872,144.00	100.0%	2,559,218.61
	0.00		312,925.39

1-16 North Birmingham			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
13,000.00	13,000.00	100.0%	\$13,000.00
240,000.00	240,000.00	100.0%	\$240,000.00
253,000.00	253,000.00	100.0%	253,000.00
	0.00		0.00

1-17 Cooper Green			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
20,000.00	20,000.00	100.0%	\$20,000.00
110,542.00	110,542.00	100.0%	\$101,231.49
280,000.00	280,000.00	100.0%	\$280,000.00
410,542.00	410,542.00	100.0%	401,231.49
	0.00		9,310.51

1-18 Kimbrough			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
208,840.98	208,840.98	100.0%	175,621.83
208,840.98	208,840.98	100.0%	175,621.83
	0.00		33,219.15

1-23 Benjamin Green			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
224,482.00	224,482.00	100.0%	35,745.54
90,890.00	90,890.00	100.0%	90,890.00
315,372.00	315,372.00	100.0%	126,635.54
	0.00		188,736.46

1-33 PHA Wide			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
\$1,676,250.00	\$1,528,563.74	91.2%	\$1,354,466.04
1,091,495.50	1,075,123.80	98.5%	606,537.94
134,242.00	134,242.00	100.0%	121,754.50
118,284.50	118,284.50	100.0%	118,284.50
90,800.00	87,672.28	96.6%	52,282.28
3,111,072.00	2,943,886.32	94.6%	2,253,325.26
	167,185.68		857,746.74

1-35 McCoy Maintenance Facility			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
173,159.02	173,159.02	100.0%	173,159.02
45,952.53	45,952.53	100.0%	45,952.53
838,662.32	838,662.32	100.0%	838,662.32
85,000.00	85,000.00	100.0%	85,000.00
0.00	0.00		0.00
1,142,773.87	1,142,773.87	100.0%	1,142,773.87
	0.00		0.00

LOCCS Voice Response No. 07507-28007

Project No. AL09-P001-707-98

CGP 707 (FFY98) Grand Total			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
1,680,000.00	1,532,313.74	91.2%	1,354,466.04
1,091,495.50	1,075,123.80	98.5%	606,537.94
869,868.00	869,868.00	100.0%	567,655.30
1,080,542.00	1,080,542.00	100.0%	1,017,380.35
3,838,662.02	3,838,662.02	100.0%	3,104,463.69
2,196,512.48	2,196,512.48	100.0%	2,060,018.55
175,800.00	172,672.28	98.2%	137,282.28
0.00	0.00		0.00
0.00	0.00		0.00
10,932,880.00	10,765,694.32	98.5%	8,847,804.15
	167,185.68		2,085,075.85

Signature of Executive Director	Date

Signature of Public Housing Director	Date

LOCCS				BUDGETED AMOUNT PER LOCCS			
loccs as of 06/30/2001							
	timing differences	to correct draw down error					
	0.00			0.00		1,062,961.00	-1,062,961.00
1,077,712.47	276,753.57		6,322.00	283,075.57	PING funds drawn down from 707 should be from 709	977,000.00	703,000.00
26,458.35	580,079.59			580,079.59		1,272,900.00	-181,404.50
484,443.09	83,212.21	-4,375.00	995.78	79,832.99	CLA was posted to 77-17-1430-00 instead of 1460	305,500.00	564,368.00
785,387.15	231,993.20			231,993.20		BUDGET AMT IS WRO	1,992,969.00
1,783,235.00	1,321,228.69		-995.78	1,320,232.91	to correct cla 77-17-1460-00	BUDGET AMT IS WRO	1,894,500.00
	0			0.00		this amt was drawn	145,250.00
1,278,596.00	781,422.55			781,422.55		down on 06/08/98	1,855,500.00
103,822.28				0.00			886,000.00
0.00	0.00			0.00			50,000.00
				0.00			
	#VALUE!			#VALUE!			187,039.00
5,539,654.34	3,308,149.81						-187,039.00
							10,932,880.00
5,539,654.34						10,629,619.00	

Line Item	Description	2023 Budget			2024 Budget		
		Original	Revised	Total	Original	Revised	Total
001	Salaries	100000	100000	100000	100000	100000	100000
002	Benefits	20000	20000	20000	20000	20000	20000
003	Travel	5000	5000	5000	5000	5000	5000
004	Supplies	1000	1000	1000	1000	1000	1000
005	Postage	2000	2000	2000	2000	2000	2000
006	Telephone	3000	3000	3000	3000	3000	3000
007	Utilities	4000	4000	4000	4000	4000	4000
008	Insurance	6000	6000	6000	6000	6000	6000
009	Depreciation	7000	7000	7000	7000	7000	7000
010	Other	8000	8000	8000	8000	8000	8000
011	Subtotal	150000	150000	150000	150000	150000	150000
012	Operating Expenses	10000	10000	10000	10000	10000	10000
013	Capital Expenses	5000	5000	5000	5000	5000	5000
014	Reserve Expenses	3000	3000	3000	3000	3000	3000
015	Contingency Expenses	2000	2000	2000	2000	2000	2000
016	Other Expenses	1000	1000	1000	1000	1000	1000
017	Subtotal	21000	21000	21000	21000	21000	21000
018	Grand Total	171000	171000	171000	171000	171000	171000

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Housing Authority of the Birmingham District
Comprehensive Grant Program 709

FFY 1999
08/04/1999 GRANT EFFECTIVE DATE

08/31/2002 OBLIGATION END DATE

06/30/2003 EXPENDED DATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1485	Demolition
1495.1	Relocation Cost
Total	
Available Funds	

1-1 Elyton Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
93,263.00	93,263.00	100.0%	93,263.00
319,089.30	200,000.00	62.7%	0.00
56,565.00	0.00	0.0%	0.00
468,917.30	293,263.00	63%	93,263.00
	175,654.30		375,654.30

1-3R Metropolitan Gardens			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
234,961.25	0.00	0.0%	0.00
234,961.25	0.00	0%	0.00
	234,961.25		234,961.25

1-4R Southtown			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
43,106.25	43,106.25	100.0%	13,580.25
291,690.63	291,690.63	100.0%	287,537.63
334,796.88	334,796.88	100%	301,117.88
	0.00		33,679.00

1-6 Marks Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
	0.00		0.00

1-7 Loveman Village			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
38,215.09	38,215.09	100.0%	20,601.22
42,920.00	0.00	0.0%	0.00
181,000.00	181,000.00	100.0%	0.00
262,135.09	219,215.09	84%	20,601.22
	42,920.00		241,533.87

1-8 Tuxedo Court			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
	0.00		0.00

1-9 Smithfield			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
1,000,000.00	1,000,000.00	100.0%	921,864.99
1,000,000.00	1,000,000.00	100%	921,864.99
	0.00		78,135.01

1-11 Morton Simpson			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
	0.00		0.00

1-13 Collegeville			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
100,000.00	100,000.00	100.0%	78,099.11
180,000.00	180,000.00	100.0%	180,000.00
280,000.00	280,000.00	100%	258,099.11
	0.00		21,900.89

1-14 Harris Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
500,000.00	492,000.00	98.4%	481,250.00
645,879.00	645,879.00	100.0%	300,349.10
5,982,336.02	5,982,336.02	100.0%	1,404.00
1,019,158.40	1,019,158.40	100.0%	19,128.66
8,147,373.42	8,139,373.42	100%	802,131.76
	8,000.00		7,345,241.66

1-16 North Birmingham Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
4,086.00	4,086.00	100.0%	4086.00
4,086.00	4,086.00	100%	4,086.00
	0.00		0.00

Total Funds Approved
64,000.00
64,000.00

1-21 Freedom Manor		
Total Funds Obligated	% of Obligated	Total Funds Expended
61,768.00	96.5%	61,768.00
61,768.00	97%	61,768.00
2,232.00		2,232.00

LOCCS Voice Respon:

1-23 Benjamin Greene			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
100,000.00	0.00	0.0%	0.00
100,000.00	0.00	0%	0.00
	100,000.00		100,000.00

1-33 PHA Wide			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
405,000.00	\$370,901.57	91.6%	\$370,901.57
750,000.00	750,000.00	100.0%	0.00
352,205.94	0.00	0.0%	0.00
1,507,205.94	1,120,901.57	74%	370,901.57
	386,304.37		1,136,304.37

1-35 McCoy Maintenance Facility			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
26,787.12	0.00	0.0%	0.00
26,787.12	0.00	0%	0.00
	26,787.12		26,787.12

1-55 Single Family Homes			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
80,000.00	56,021.54	70.0%	47,521.54
770,000.00	770,000.00	100.0%	538,599.70
850,000.00	826,021.54	97%	586,121.24
	23,978.46		263,878.76

C
Total Funds Approved
0.00
405,000.00
750,000.00
711,478.09
1,250,994.55
7,048,112.65
0.00
2,634,716.46
245,000.00
234,961.25
0.00
13,280,263.00

Signature

Signature of

se No. 07507-28008
Project No. AL09-P001-709-99

GP 709 (FFY) Grand Total		
Total Funds Obligated	% of Obligated	Total Funds Expended
0.00		0.00
370,901.57		370,901.57
750,000.00		0.00
679,499.63		642,635.76
988,985.25		392,028.46
7,048,112.65		831,627.33
0.00		0.00
2,199,158.40		1,120,993.65
242,768.00		61,768.00
0.00		0.00
0.00		0.00
12,279,425.50	92%	3,419,954.77
1,000,837.50		9,860,308.23

loccs balance as of 06/30/2001		timing differences	adjustments	
	0.00			0.00
356,419.57	14,482.00		-6,322.00	8,160.00 PING funds should be drawn down from 707 1408
	0.00			0.00
560,751.15	81,884.61		2,121.15	84,005.76 Turner Inv#3105 drawn down on wrong bli should be 1450
73,658.71	318,369.75		-2,121.15	316,248.60
438,096.11	393,531.22	-77,898.30		315,632.92
	0.00			0.00
987,314.26	133,679.39			133,679.39
61,768.00	0.00			0.00
	0.00			0.00
2,478,007.80	941,946.97			857,726.67

of Executive Director	Date

Public Housing Director	Date

Housing Authority of the Birmingham District
Comprehensive Grant Program 501-99
REVISION NUMBER

FFY2000
10/31/2000 GRANT EFFECTIVE DATE

10/31/2002 OBLIGATION END DATE

10/31/2003 EXPENSE END DATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1495.1	Relocation Cost
Total	
Available Funds	

Refer to Grant as 800

1-35 George McCoy Maintenance Facility			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
37,527.00	0.00		0.00
37,527.00	0.00	0%	0.00
	37,527.00		37,527.00

LOCCS Voice Response No. 07507-28010

Project No. AL09-R001-501-99

COMP AL09 R001 501-99			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
37,527.00	0.00	0.0%	0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
37,527.00	0.00	0.0%	0.00
	37,527.00		37,527.00

Signature of Executive Director	Date

Signature of Public Housing Director	Date

CAPITAL FUND PROGRAM TABLES START HERE

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09P00150102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
		Original	Revised	Obligated	Expended
1	Total Non - CFP Funds				
2	1406 Operations				
3	1408 Management Improvements Soft Costs	853,000			
	Management Improvements Hard Costs	650,000			
4	1410 Administration				
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	100,000			
8	1440 Site Acquisition				
9	1450 Site Improvement				
10	1460 Dwelling Structures	3,900,000			
11	1465.1 Dwelling Equipment — Nonexpendable	1,000,000			
12	1470 Non dwelling Structures	1,247,000			
13	1475 Non dwelling Equipment				
14	1485 Demolition				
15	1490 Replacement Reserve				
16	1492 Moving to Work Demonstration				
17	1495.1 Relocation Costs				
18	1499 Development Activities	3,750,000			
19	1501 Collateralization or debt Service				
20	1502 Contingency				

Annual Statement/Performance and Evaluation Report					
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part 1: Summary					
PHAName: Housing Authority of the Birmingham District		Grant Type and Number Capital Fund Program Grant No: AL09P00150102 Replacement Housing Factor Grant No:			Federal FY of Grant: 2002
<input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report					
Line No.	Summary by Development Account	Total Estimated Cost		Total Actual Cost	
21	Amount of Annual Grant: (sum of lines.....)	11,500,000			
22	Amount of line XX Related to LBP Activities				
23	Amount of line XX Related to Section 504 compliance				
24	Amount of line XX Related to Security --Soft Costs				
25	Amount of Line XX related to Security --Hard Costs				
26	Amount of line XX Related to Energy Conservation Measures				
27	Collateralization Expenses or Debt Service				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

PHAName: HousingAuthorityoftheBirminghamDistrict		GrantTypeandNumber CapitalFundProgramGrantNo:AL09P00150102 Replacem entHousingFactorGrantNo:					FederalFYofGrant: 2002		
DevelopmentNumber Name/HA-Wide Activities	GeneralDescriptionofMajorWork Categories		Dev. Acct No.	Quantity	TotalEstimatedCost		TotalActualCost		Statusof Work
AL1 -3R	HOPEVI		1499		2,500,000				
METRO									
GARDENS									
AL1 -4R	AANDEFEEES		1430		100,000				
SOUTHTOWN	AIRCONDITIONING		1465.1		1,000,000				
AL1 -14	BUILDINGRENOVATIONS		1460		200,000				
HARRIS									
HOMES									
AL1 -17	BUILDINGRENOVATIONS		1460		3,700,000				
COOPER									
GREEN									
AL1 -55									
SCATTERED									
HOMES	SINGLEFAMILYHOUSES		1499		1,250,000				

Annual Statement/Performance and Evaluation Report									
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)									
Part II: Supporting Pages									
PHAName: Housing Authority of the Birmingham District			Grant Type and Number Capital Fund Program Grant No: AL09P00150102 Replacement Housing Factor Grant No:				Federal FY of Grant: 2002		
Development Number Name/HA-Wide Activities	General Description of Major Work Categories		Dev. Acct No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
CENTRAL	NONDWELLING STRUCTURES		1470		1,247,000				
OFFICE									
HA WIDE	MANAGEMENT DEV TRAINING		1408		40,000				
MANAGEMENT	RESIDENT PROGRAMS		1408		65,000				
IMPROV	SUMMER DAY CARE		1408		55,000				
	SCOUTING PROGRAM		1408		75,000				
	COMPUTER HARD/SOFT TRAINING		1408		58,000				
	OFFICE FURN/EQUIP		1408		60,000				
HA/WIDE	COMPUTER SYSTEM		1408		500,000				
	ADMINISTRATION		1410		650,000				

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

CapitalFundProgramFive -YearActionPlan PartI:Summary					
PHAName HousingAuthority oftheBirminghamDistrict				<input type="checkbox"/> Original5 -YearPlan <input type="checkbox"/> RevisionNo:	
Development Number/Name/H A-Wide	Year1	WorkStatementforYear2 FFYGrant: PHAFY:20 03	WorkStatementforYear3 FFYGrant: PHAFY:2004	WorkStatementforYear4 FFYGrant: PHAFY:2005	WorkStatementforYear5 FFYGrant: PHAFY:2006
	Annual Statement				
AL1 -1ELYTON			8,732,000	8,732,000	
AL1 -3RMETRO GARDENS		1,000,000			
AL1 -10TOM BROWN		750,000			
AL1 -13 COLLEGEVILLE		1,400,000			
AL1 -16NORTH BHAMHOMES					8,732,000
AL1 -17 COOPERGREEN		5,100,000			
AL1 -55SCAT HOUSE		1,500,000	1,500,000	1,500,000	1,500,000
CENTRAL ADMINOFFICE					
HAWIDE MGMTIMPROV		1,750,000	1,268,000	1,268,000	1,268,000
TotalCFPFunds (Est.)		11,500,000	11,500,000	11,500,000	11,500,000
TotalReplacement HousingFactor Funds					

CapitalFundProgramFive -YearActionPlan PartII:SupportingPages —WorkActivities						
Activitiesfor Year1	ActivitiesforYear:_2____ FFYGrant: PHAFY:2002			ActivitiesforYear:___3___ FFYGrant: PHAFY:2003		
	AL1 -3RMETRO GARDENS	HOPEVI	1,000,000	AL1 -1ELYTON VILLAGE	A/EFEES	200,000
	AL1 -10TOM BROWN	A/EFEES	50,000		BUILDING RENOVATIONS	8,585,000
		AIRCONDITIONING	700,000	AL1 -55SCATTERED HOUSES	SINGLEFAMILY HOUSES	3,300,000
	AL1 -13 COLLEGEVILLE	A/EFEES	200,000	HAWIDEMGMT IMPROVEMENTS	MANAGEMENT DEVELOPMENT TRAINING	60,000
		AIRCONDITIONING	1,200,000		RESIDENTPRGM	75,000
	AL1 -17COOPER GREEN	BUILDING RENOVATIONS	5,100,000		SUMMERDAYCAMP	60,000
	AL1 -55 SCATTERED HOUSES	SINGLEFAMILY HOUSES	1,500,000		SCOUTING PROGRAM	100,000
	HAWIDEMGMT IMPROVEMENTS	MGMTDEVTRG	40,000		COMPUTER/TRG	158,000
		RESIDENTPRGM	65,000		OFFICEFURNITURE EQUIPMENT	65,000
		SUMMERDAYCAMP	55,000		ADMINISTRATION	750,000
		SCOUTING	75,000			
		COMPUTERTRG	58,000			
		COMPUTERSYSTEM	500,000			
		OFFICEFURNITURE EQUIPMENT	60,000			
		ADMINISTRATION	650,000			

CapitalFundProgramFive -YearActionPlan
PartII:SupportingPages —WorkActivities

	ActivitiesforYear: __4__ FFYGrant: PHAFY:			ActivitiesforYear: __5__ FFYGrant: PHAFY:		
	AL1 -16NORTH B'HAMHOMES	A/EFEES	200,000	AL1 -16NORTH B'HAMHOMES	RELOCATION	200,000
		BUILDING RENOVATION	8,385,000		BUILDING RENOVATION	8,385,000
	AL1 -55SCATTERED HOUSES	SINGLEFAMILY HOUSES	3,300,000	AL1 -55SCATTERED HOUSES	SINGLEFAMILY	1,500,000
	HAWIDEMGMT IMPROVEMENTS	MANAGEMENT DEVELOPMENT TRAINING	60,000	HAWIDEMGMT IMPROVEMENTS	MANAGEMENT DEVELOPMENT TRAINING	60,000
		RESIDENT PROGRAMS	75,000		RESIDENT PROGRAMS	75,000
		SUMMERDAYCAMP	60,000		SUMMERDAYCAMP	60,000
		SCOUTING PROGRAM	100,000		SCOUTING PROGRAM	100,000
		COMPUTER SOFT/HARD TRAINING	158,000		COMPUTER SOFT/HARD TRAINING	158,000
		OFFICEFURNITURE EQUIPMENT	65,000		OFFICEFURNITURE EQUIPMENT	65,000
		ADMINISTRATION	750,000		ADMINISTRATION	750,000

Housing Authority of the Birmingham District
Capital Fund Program 501-00
REVISION NUMBER

FFY2000
10/31/2000 GRANTEFFECTIVEDATE

10/31/2002 OBLIGATIONENDDATE

10/31/2003 EXPENDEDDATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1499	Replacement Housing
Total	
Available Funds	

Refer to Grant as 802

1-60 HOPE VI Replacement Housing			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
386,826.00	386,826.00	100.0%	322,235.31
386,826.00	386,826.00	100%	322,235.31
	0.00		64,590.69

LOCCS Voice Response No. 07507-92002

Project No. AL09-R001-501-00

CFP AL09 R001 501-00			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
386,826.00	386,826.00		322,235.31
386,826.00	386,826.00	100.0%	322,235.31
	0.00		64,590.69

Signature of Executive Director	Date

Signature of Public Housing Director	Date

Housing Authority of the Birmingham District
Capital Fund Program 501-01
REVISION NUMBER

Refer to Grant as 804

LOCCS Voice Response No. 07507-92003 not available

Project No. AL09-R001-501-01

FFY2001
GRANTEFFECTIVEDATE

11/30/2003 OBLIGATIONENDDATE

11/30/2004 EXPENDEDDATE

1406	Operations
1408	Management Improvements
1410	Administration
1430	Fees and Costs
1450	Site Improvement
1460	Dwelling Structures
1465.1	Dwelling Equipment-Nonexpendable
1470	Nondwelling Structures
1475	Nondwelling Equipment
1499	Development Activities
Total	
Available Funds	

1-60 Residential Housing			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
477,154.00	0.00		0.00
477,154.00	0.00	0%	0.00
	477,154.00		477,154.00

CFP AL09 R001 501-01			
Total Funds Approved	Total Funds Obligated	% of Obligated	Total Funds Expended
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
0.00	0.00		0.00
477,154.00	0.00	0.0%	0.00
477,154.00	0.00	0.0%	0.00
	477,154.00		477,154.00

Signature of Executive Director	Date

Signature of Public Housing Director	Date

ATTACHMENT TWO

HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT

FIVE - YEAR PLAN

PROGRESS REPORT

HUD STRATEGIC GOAL: Increase the availability of decent, safe, and affordable housing

PHA Goal: Expand the supply of assisted housing

During the plan year, a total of 196 rental vouchers have been approved for the Housing Authority of the Birmingham District (HABD). This will assist the HABD in expanding the availability of assisted housing in the Birmingham area.

In addition to the increased number of vouchers, the HOPE VI redevelopment of Metropolitan Gardens will leverage private and other public funds to create expanded housing opportunities for low to moderate income families in the city of Birmingham. The HABD continues its marketing campaign aimed at reducing the number of vacancies in its public housing communities. However, the majority of applicants for housing chose Section 8 vouchers over public housing. Forty-eight (48) single room occupancy vouchers were received during the most recent fiscal year. One-hundred-ninety (190) vouchers were received for South Park and three-hundred-twenty relocation vouchers for HOPE VI.

PHA Goal: Improve the quality of assisted housing

The demolition and redevelopment of the 910 unit Metropolitan Gardens site (and additional units off-site) will enhance the quality of housing in the Birmingham community. To date, the HABD has completed major physical renovations to a total of 1,624 units at Collegeville, Smithfield, Tom Brown, Roosevelt City, and Southtown. Harris Homes, a 200 unit development, is presently undergoing modernization. Also, the Authority was funded 190 vouchers to assist in the relocation of residents who lived at a HUD project based complex. The owners had failed to maintain the complex up to HUD's standards. For fiscal year ending June 30, 2001, the Authority's Advisory Public Housing Assessment System score is 86.67% and the Section Eight Management Assessment Program score is 96%.

PHA Goal: Increase assisted housing choices

The HABD is continuing its implementation of the Section 8 Homeownership Program that was adopted last year. HABD continues to meet with prospective lenders regarding the homeownership program. Also, the HABD has formed a partnership with Fannie Mae and Neighborhood Housing Services to assist participants in meeting homeownership requirements. The HABD is completing the construction of 24 single-family homes for sale to low and moderate income families. During the plan year the HABD has added more than 250 additional landlords to the Section 8 Program, increasing the supply of assisted housing in the Birmingham community. In addition, HABD has established a partnership with the City of Birmingham to identify sites and neighborhoods for housing revitalization.

HUD STRATEGIC GOAL: Improve community quality of life and economic vitality

PHA Goal: Provide an improved living environment

The HOPE VI redevelopment of Metropolitan Gardens will provide Birmingham's first mixed income community. The development will consist of 663 units. Of this total 264 will be for low to moderate income families. The HABD is also proposing to develop other mixed income communities as a part of its offsite housing components under the HOPE VI Program. The HABD's marketing campaign is designed to attract more middle income families, creating economically diverse housing communities. The HABD continues to enhance the quality of life in its communities through programs funded under the Public Housing Drug Elimination Program. The HABD has achieved its goal of reducing the crime rate in its communities below the crime rate for the city of Birmingham. As of December 31, 2001, HABD's crime rate was 6.33 incidents per 100 persons, while the City of Birmingham's crime rate was 8.47 incidents per 100 persons.

HUD STRATEGIC GOAL: Promote self-sufficiency and asset development of families and individuals

PHA Goal: Promote self-sufficiency and asset development of families and individuals

FSS participation continues to be a very strong program at HABD. In addition, the Authority has funded a Job Readiness Program to assist residents with self-sufficiency efforts. The Authority's program consists of 50 public housing and 50 Section 8 participants. Families with FSS escrow accounts have increased at least 10% over the past year. Currently, there are thirty-eight (38) FSS families with escrow accounts. The average amount of their escrow account is \$2,678.00.

-2-

HUD STRATEGIC GOAL: Ensure equal opportunity in housing for all Americans

PHA Goal: Ensure equal opportunity and affirmatively further fair housing objectives

The HABD continues to further fair housing objectives. HABD housing programs are open to all Americans who qualify; HABD encourages participation by those families who would least likely apply for housing. HABD has modified 113 of its 6,203 available units to accommodate families with disabilities.

Other PHA Goals and Objectives

PHA Goal: Take a leadership role on the creation of significant additional organizational capacity to provide and maintain quality affordable housing in Birmingham and the region

The Authority has formed several significant partnerships with other community entities to address growing concerns about economic growth and revitalization in our communities and the greater Birmingham area as it relates to affordable housing. Some of the organizations with which the HABD is partnering are the City of Birmingham, Neighborhood Services, Fannie Mae, the Bethel Ensley Action Task (B.E.A.T.) Community, the Fountain Heights Neighborhood Association, the Mason City Neighborhood Association, and Birmingham Health Care for the Homeless. In fact, members of HABD staff routinely attend neighborhood association meetings in neighborhoods where our public housing developments are located.

ATTACHMENT THREE

THE SECTION 8 HOME OWNERSHIP CAPACITY STATEMENT

The Housing Authority of the Birmingham District has adopted and specified in its Administrative Plan a minimum home owner down payment requirement of at least three (3) percent and requires that at least one (1) percent of the down payment come from the family's resources.

ATTACHMENT FOUR

HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT

PET POLICY

SECTION XXV. PET POLICY

PART "A"

1. **Pet Ownership:** A resident may own one or more common household pets or have one or more common household pets present in the dwelling unit of such resident, subject to the following conditions:
 - A. Each head of household may own up to two pets. If one of the pets is a dog or cat, or other four-legged animal, the second pet must be contained in a cage or an aquarium for fish. Each bird or other animals, other than fish, shall be counted as one pet.
 - B. If the pet is a dog or cat, it must be neutered/sprayed by the age of six (6) months, and cats must be declawed by the age of three (3) months. The evidence can be provided by a statement/bill from a veterinarian and/or staff of the humane society. The evidence must be provided prior to the execution of the Pet Policy Agreement and/or within ten (10) days of the pet becoming of the age to be neutered/sprayed or declawed. Resident must provide waterproof and leak proof litter boxes for cat waste, which must be kept inside the dwelling unit. Cardboard boxes are not acceptable and will not be approved. The resident shall not permit refuse from litter boxes to accumulate nor to become unsightly or unsanitary. Also, the weight of the cat cannot exceed 10 pounds (fully grown) and a dog may not exceed 20 pounds (fully grown). All other four-legged animals are limited to 10 pounds (fully grown).
 - C. If the pet is a bird, it shall be housed in a bird cage and cannot be let out of the cage at any time.
 - D. If the pet is a fish, the aquarium must be twenty gallons or less, and the container must be placed in a safe location in the unit. The resident is limited to one container for the fish; however, there is no limit on the number of fish that can be maintained in the container as long as the container is maintained in a safe and non-hazardous manner.

- E. If the pet is a dog or cat, it must have received rabies and distemper inoculations or boosters, as applicable. Evidence of inoculations can be provided by a statement/bill from veterinarian or staff of the Humane Society and must be provided before the execution of the Pet Policy Addendum. 1
- F. All pets must be housed within the unit and no facilities can be constructed outside of the unit for any pet. No animal shall be permitted to be loose and if the pet is taken outside it must be taken outside on a leash and kept off other residents' lawns. Pets without a collar will be picked up immediately and transported to the Humane Society or other appropriate facility.
- G. All authorized pet(s) must be under the control of an adult. An unleashed pet, or one tied to a fixed object is not considered to be under the control of an adult. Pets that are unleashed, or leashed and unattended on HABD property may be impounded and taken to the local Humane Society. It shall be the responsibility of the resident to reclaim the pet at the expense of the resident. Also, if a member of the HABD Staff has to take a pet to the Humane Society the resident will be charged \$50.00 to cover the expense of taking the pet(s) to the Humane Society.
- H. Pet(s) may not be left unattended for more than twenty-four consecutive hours. If it is reported to HABD staff that a pet(s) has been left unattended for more than a twenty-four (24) consecutive hour period, HABD staff may enter the unit and remove the pet and transfer the pet to the Humane Society. Any expense to remove and reclaim the pet from any facility will be the responsibility of the resident. In the case of an emergency, the HABD will work with the resident to allow more than 24 hours for the resident to make accommodations for the pet.
- I. Pet(s), as applicable, must be weighed by a veterinarian or staff of the Humane Society. A statement containing the weight of the pet must be provided to the HABD prior to the execution of the Pet Policy Addendum.

NOTE: Any pet that is not fully grown will be weighed every six months. Also, any pet that exceeds the weight limit at any time during occupancy will not be eligible to remain in the unit and must be removed from HABD property.

1. **Responsible Pet Ownership.** Each pet must be maintained responsibly and in accordance with the pet ownership lease addendum and in accordance with all applicable ordinances, state and local public health and animal control, and animal anti-cruelty laws and regulations governing pet ownership. Any waste generated by a pet must be properly disposed of by the resident to avoid any unpleasant and unsanitary odor from being in the unit.

2. **Prohibited Animals :** Animals or breeds of animals that are considered by the HABD to be vicious and/or intimidating will not be allowed. Some examples of animals that have a reputation of a vicious nature are: **reptiles, rott weiler, doberman pinscher, pit bulldog, and/or any animal that displays vicious behavior.** This determination will be made by a HABD representative prior to the execution of the Pet Policy Addendum.
3. **Pet(s) Disturbances:** Pet(s) shall not disturb, interfere or diminish the peaceful enjoyment of other residents. The terms, "disturb, interfere or diminish" shall include but not be limited to barking, howling, chirping, biting, scratching and other like activities. This includes any pets that make noise continuously and/or incessantly for a period of 10 minutes or intermittently for one -half hour or more and therefore disturbs any person at any time of the day or night. The Housing Manager will terminate the authorization if a pet disturbs other residents as provided in the Pet Policy Addendum. The resident will be given one week to make other arrangements for the care of the pet or the dwelling lease will be terminated.
4. **Destructive Pet(s):** If the animal should be destructive, create an nuisance, represent a threat to the safety and security of other persons, or create a problem in the areas of cleanliness and sanitation, the Housing Manager will notify the resident, in writing, that the animal must be removed from the Housing Community, within 10 days of the notice from the HABD. The resident may request a hearing, which will be handled according to the HABD established grievance procedure. The pet may remain with the Resident during the hearing process unless the HABD has determined that the pet may be a danger or threat to the safety and security of other persons. If this determination has been made by the HABD, the pet must be immediately removed from the unit upon receipt of the notice from the HABD.
5. **Pet Waste:** The resident is solely responsible for cleaning up the waste of the pet within the dwelling and on the premises of the Housing Community. If the pet is taken outside it must be on a leash all times. If there is any visible waste by the pet it must be disposed of in a plastic bag, securely tied and placed in the garbage receptacle for their unit. If the HABD staff is required to clean up any waste left by a pet, the resident will be charged \$25.00 for the removal of the waste.
6. **Pet(s) Restrained:** The resident shall have pets restrained so that maintenance can be performed in the apartment. The resident shall, whenever an inspection or maintenance is scheduled, either be at home or shall have all animals restrained or caged. If a maintenance person enters an apartment where an animal is not restrained, maintenance shall not be performed, and the resident shall be charged \$25.00. If the same situation again occurs, the pet shall be removed from the premises. Pets that are not caged or properly restrained may be impounded by animal control officers or by HABD staff and taken to the local Humane

Society. It shall be the responsibility of the resident to reclaim the pet at the expense of the resident. Also, if a member of the HABD staff takes a pet(s) to the Humane Society the resident will be charged an additional \$50.00 to cover the expense of taking the pet(s) to the Humane Society. The HABD shall not be responsible if any animal escapes from the residence due to maintenance, inspections or other activities of the landlord.

7. **Breeding:** Pets may not be bred or used for any commercial purposes.

PART "B" SCHEDULE OF ANNUAL FEES AND INITIAL DEPOSIT

FEE AND DEPOSITS SCHEDULE (An Annual Fee and Deposit is required for each pet)

<u>Type of Pet</u>	<u>Fee</u>	<u>Deposit</u>
Dog	\$150.00	\$250.00
Cat	\$100.00	\$150.00
Fish Aquarium	\$50.00	\$100.00
Fish Bowl (requires no power and no larger than two (2) gallons)	\$00.00	\$25.00
Caged Pets	\$100.00	\$150.00

NOTE: The above schedule is applicable for each pet; therefore, if a resident has more than one pet, then they must pay the applicable annual fee and deposit for each pet.

The entire annual fee and deposit (subject to the exception listed below) must be paid prior to the execution of the Pet Policy Addendum.

The annual fees shall be paid at the time of reexamination each year and all proof of inoculations and other requirements shall be made available to the HABD at such time. The Annual Fee is not reimbursable. The deposit made shall be utilized to offset damages caused by the pet and/or resident. If there is any balance from the deposit, it will be refunded to the resident. **THERE SHALL BE NO REFUND OF THE ANNUAL FEE.**

It shall be a serious violation of the lease for any resident to have a pet without proper approval and without having complied with the terms of the Pet Policy Addendum. Such violations shall be considered to be a violation of Paragraph IV (N) of the lease (a serious violation) and the HABD will issue a termination notice. The resident will be entitled to a grievance hearing in accordance with the provisions of Paragraph 5 of the Pet Policy Addendum or Grievance procedure as applicable.

ATTACHMENT FIVE

COMMUNITY SERVICE REQUIREMENT

Pursuant to the Department of Veteran Affairs and Housing and Urban Development, and Independent Agencies Appropriation Act, 202, Section 432, PHAs are precluded from implementing or enforcing community service requirements in non -HOPEVI developments using 2002 funds, therefore, HABD will not implement the community service requirement (42 USC 1437j(c)) at this time.

ATTACHMENTSIX

HOUSINGAUTHORITYOFTHEBIRMINGHAMDISTRICT

RESIDENTADVISORYBOARD

PublicHousing

**Mrs.WillineBody
ElytonVillage
216ThirdAvenueWest,Unit8
Birmingham,AL35204**

**Ms.BerthaDavis
BenjaminGreeneVillage
3561 -45thStreetS.W.
Birmingham,AL35221**

**Ms.LouiseShufford
MetropolitanGardens
2411DSixthAvenueNorth
Birmingham,AL35203**

**Ms.RosaSmith
MortonSimpsonVillage
841B45thStreet,North
Birmingham,AL35212**

**Ms.IreneJohnsonMrs.ThelmaPatterson
Southtown
2520SouthtownCourt
Birmingham,AL35205**

**CollegevilleCenter
3000 -31stAvenueNorth
Birmingham,AL35207**

**PastorWillieRobinson
MarksVillage
7527 -64thCourtwaySouth,Unit43
Birmingham,AL35212**

**Ms.BarbaraMerchant
HarrisHomes
549BrusselsCircle
Birmingham,AL35212**

**Ms.EviorCollins
LovemanVillage
120TaftCourtwayS.W.,Unit336
Birmingham,AL35211**

**Ms.CynthiaProwell
NorthBirminghamHomes
3131 -44thAvenueNorth
Birmingham,AL35207**

**Ms.BennieJ.Cooper
TuxedoCourt
1551 -20thPlace,Ensley
Birmingham,AL35218**

**Mrs.MaralynMosley
CooperGreenHomes
1508FarthurShoresDrive
Birmingham,AL35211**

PublicHousing(continued)

**Ms.CreassieTate
SmithfieldCourt
236 -8th AvenueWest
Birmingham,AL35204**

**Ms.EarnestineHollifield
KimbroughHomes
2909BJohnBryanRoad,Unit92
Birmingham,AL35 211**

**Ms.VivianMiles
TomBrownVillage
500 -43rd Street,North
Birmingham,AL35222**

**Ms.FreddieM.Gletton
FreedomManor
1617 -5th Avenue,North,Apt.604
Birmingham,AL35203**

**Ms.Ha ttieChapman
RooseveltCity
6105WarnerStreet
Birmingham,AL35228**

**ResidentontheGoverningBoardofCommissioners:MaryE.Robinson,
Commissioner
7527
Birmingham,AL35210**

**64th Courtway,
South,Unit43**

Section8

**Ms.ValerieDavis
4328GreenwoodStreet
Birmingham,AL35217**

**Ms.BettyeFischer
2333PearsonAvenue
Birmingham,AL35211**

**Ms.AmandaHudson
70171st Street,South316ANorth75
Birmingham,AL35206**

**Ms.CarolynParker
thStreet
Birmingham,AL35206**

**Ms.CherylTabb
403329th Street,North
Birmingham,AL35207**

**HOUSING AUTHORITY OF THE BIRMINGHAM DISTRICT
ORGANIZATIONAL CHART**

ATTACHMENT SEVEN

